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Core destruction in knockout reactions

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ABSTRACT

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Keywords: Breakup reactions Projectile and target fragmentation A model is presented to calculate projectile core destruction in knockout reactions. It incorporates physics arguments similar to the formulation of the state of the art theory to calculate stripping and diffraction dissociation cross sections in heavy ion collisions with bombarding energies around 100 MeV/nucleon and larger. It is shown that secondary collisions between the incoming and struck nucleons with the projectile core decrease the core survival probability by as much as 9.5%. However, no clear evidence is found for reduction of the cross section with increasing binding energy of the removed nucleon. © 2023 The Author(s). Published by Elsevier B.V. This is an open access article under the CC BY license

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Direct reactions with radioactive nuclear beams with energies about 100 MeV/nucleon and larger have become an invaluable spectroscopic tool in nuclear physics [1]. In particular, nucleon knockout reactions have been employed frequently to extract spectroscopic information on the evolution of single particle properties in nuclei as a function of their mass and charge [2-4] (for a recent review, see [5]). The analysis of nucleon removal, or knockout, reactions during the past 15 years has shown a systematic quenching of the extracted values of spectroscopic factors when compared to calculations based on the nuclear shell model [5-7]. This observation is often presented as an increasing reduction of the spectroscopic factors in terms of proton minus neutron separation energy, $\Delta S = \epsilon |S_n - S_n|$, where $\epsilon = +1$ for proton removal, and $\epsilon = -1$ for neutron removal. It remains a puzzle why this "quenching" has not been observed in the extraction of spectroscopic factors using transfer and (p,2p) experiments, which are also considered excellent probes of nuclear spectroscopy and very valuable for studies of unstable nuclei using inverse kinematics [8-13].

Recent experimental works hinted at the possibility that state of the art theories aimed to describe nucleon removal reactions might miss consideration of important reaction channels such as the projectile core destruction in a secondary reaction of one outgoing nucleon stemming either from the projectile or from the target nucleus [14,15]. This possibility has been recently explored in Ref. [16] where, by invoking a non-local density formalism and compound nucleus formation and decay, the authors show that

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the effect of core destruction depends strongly on the binding energy of the removed nucleon, leading to a significant reduction of the cross section for deeply bound nucleons, and therefore reducing the isospin asymmetry of the spectroscopic factors observed in Refs. [5–7].

In this work, we provide a simple theoretical mechanism to deal with core destruction, exploring the geometric nature of the reaction and including similar physics arguments as those employed in past works in the derivation of theoretical expressions for knockout reactions [2,3,17,18]. The method includes minimal changes of the eikonal formalism adopted in past publications. Our numerical results imply that there is an evident reduction of the knockout cross sections due to core destruction by the participating nucleons. However, no clear dependence with the binding energy of the removed nucleon is found.

The widely employed expression to analyze experimental data of cross sections for single nucleon stripping from a projectile in a direct reaction is given by [2,3,17-19]

$$\sigma_{sp}^{str} = \frac{1}{2j+1} \sum_{m} \int \int d^2 b_{nT} d^3 r (1 - |S_n(b_{nT})|^2) \\ \times \left\langle \psi_{jm} \left| |S_c(b_{cT})|^2 \right| \psi_{jm} \right\rangle,$$
(1)

where S_n (S_c) is the S-matrix for the scattering of the nucleon (core) with the target at an impact parameter b_{nT} (b_{cT}) (see Fig. 1). The nucleon wavefunction $\psi_{jm}(\mathbf{r})$ depends on the single particle angular momentum of the removed nucleon and its projection, *jm*, and on the nucleon internal coordinate written as $\mathbf{r} = (\boldsymbol{\rho}, z)$. This coordinate is related to b_{nT} and b_{cT} via $b_{cT} = |\boldsymbol{\rho} - \mathbf{b}_{nT}|$ [17]. The total nucleon knockout cross section is given by

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$$\sigma_{kn} = \sum_{j} C^2 S(j) f(A_P) \left[\sigma_{sp}^{str}(j) + \sigma_{sp}^{dd}(j) \right],$$
⁽²⁾

where $C^2S(j)$ is the spectroscopic factor. The quantity f is related to the mass number A of the projectile by means of f(A) = A/(A-1) if $C^2S(j)$ is calculated with a many-body shell-model in a harmonic oscillator basis. In Eq. (2), σ_{sp}^{dd} is the single-particle diffraction dissociation cross section given by

$$\sigma_{sp}^{dd} = \frac{1}{2j+1} \sum_{m} \int d^2 b_{nT} d^3 r \left[\left\langle \psi_{jm} \middle| \left| 1 - S_n S_c \right|^2 \middle| \psi_{jm} \right\rangle - \sum_{m'} \left| \left\langle \psi_{jm'} \middle| 1 - S_n S_c \middle| \psi_{jm'} \right\rangle \right|^2 \right].$$
(3)

At high energies, the optical potential is connected to the nucleonnucleon cross section and the expression for the S-matrix is [17,18]

$$S_i(\mathbf{b_i}) = \exp\left[-\frac{\sigma_{NN}}{4\pi}\int \rho_i(q)\rho_T(q)e^{-\beta_{NN}q^2}J_0(qb_i)q\,dq\right],\qquad(4)$$

where J_0 is the ordinary Bessel function of zeroth-order, σ_{NN} is the total nucleon-nucleon cross section, and β_{NN} is the momentum dependence parameter. The nucleon density (i = n) is treated as a delta-function, $\rho_n(\mathbf{r}) = \delta(\mathbf{r})$, whereas for i = P and i = c the ground state point nucleon densities of the projectile, $\rho_P(\mathbf{r})$, and the core, $\rho_c(\mathbf{r})$, are used. $\rho_T(\mathbf{r})$ is the point nucleon density distribution of the target. Eq. (4) uses the respective Fourier transform of these densities. The nucleon sizes are accounted for the nucleon-nucleon cross sections, σ_{NN} .

Before continuing, it is worthwhile discussing the physics interpretation of the equations presented above. The stripping cross section has a very simple interpretation. It is an impact parameter integral of the product of the nucleon removal probability times the core survival probability. The diffraction dissociation cross section is derived by assuming that each cluster (nucleon or core) inside the projectile diffracts around the target. The combination of the two diffraction processes may impart a momentum transfer to the projectile, yielding distinct distorted waves for each cluster in the final state. When integrated over the momentum transfers and using completeness for the bound state ψ_{im} and the free distorted waves of the clusters one ends up with Eq. (3) [19]. For that matter, one needs to assume that the projectile has only one single bound state. This assumption is evidently not applicable to most nuclei studied in knockout reactions, and that is why the diffraction dissociation expression, Eq. (3), widely utilized in the literature, relies on shaky grounds.

In the first instance, we consider that a nucleon from the projectile collides with a nucleon in the target. One of these nucleons has the possibility to induce a secondary collision with the core. The projectile loses one nucleon in the collision and the core might be destructed in the secondary collision. This could be only one of the various possible core destruction mechanisms, as suggested in Refs. [14,15,20]. If the core is destroyed, the observed nucleon removal cross section is smaller and the spectroscopic factors extracted from experiment will be larger. The eikonal approximation allows for the treatment of secondary collisions in a straightforward way and we adopt a formalism akin to that in Ref. [21] which has been very successful to analyze (p,2p) experiments. A secondary collision of either one of the colliding nucleons with the core is expected to affect stripping much more than diffractive process. Diffractive dissociation is relatively soft and it is unlikely that the nucleons will scatter sideways to the core. Hence, we concentrate on the stripping cross sections only.

The eikonal distortion up to a position $\mathbf{r} = (\mathbf{b}, z)$ in coordinate space modifies the incoming wave Ψ_{inc} as



Fig. 1. Schematic drawing of the nucleon removal from a projectile followed by a rescattering with the projectile core.



Fig. 2. Differential cross sections for proton-proton collisions as a function of the scattering angle in the center of mass. Data is retrieved from EXFOR library [24] and curves are parametrizations taken from Refs. [25,26].

$$\Psi_{eik}(\mathbf{b}, z) = G(\mathbf{b}, z)\Psi_{inc}(-\infty),$$

$$G(\mathbf{b}, z) = \exp\left[-\frac{i}{\hbar v} \int_{-\infty}^{z} U_{opt}(\mathbf{b}, z')dz'\right],$$
(5)

where v is the projectile velocity, and U_{opt} is the optical potential. If the above integral is extended to infinity, one recovers the S-matrices used in Eqs. (1) and (3) as $S_i(\mathbf{b}) = G_i(\mathbf{b}, \infty)$. Eq. (5) shows that in the eikonal approximation, the scattering wave acquires a phase up to position $\mathbf{r} = (\mathbf{b}, z)$. This can readily be used to propagate sequential particle scattering to treat multiple collisions by recursively calling different points \mathbf{r} as a new source of subsequent eikonal waves, i.e.,

$$G(\mathbf{b}, z) = \int^{z} dz' dz'' d\mathbf{b}' d\mathbf{b}'' \cdots G(\mathbf{r}') G(\mathbf{r}'') \cdots$$
(6)

Although this formalism is attractive and has been reported in the literature to treat multiple collisions using eikonal waves [22,23], it is important to notice that it does not apply to the case of core destruction studied here because the two steps are independent.

Instead of the multistep formalism delineated above, we use a much simpler procedure based on the same arguments leading to Eq. (1) to derive the stripping cross section including a correc-



Fig. 3. Core survival probabilities, $P_c(b_c)$, defined according to Eq. (9) (solid curves) and with Eq. (10) (dotted curves). Upper panel: ${}^{12}C({}^{8}B, {}^{7}Be)$ at 285 MeV/nucleon with a proton separation energy $S_p = 0.137$ MeV, angular momentum $J^{\pi} = 3/2^{-}$. Lower panel: ${}^{12}C({}^{10}C, {}^{9}C)$ at 120 MeV/nucleon with a neutron separation energy $S_n = 21.28$ MeV, angular momentum $J^{\pi} = 3/2^{-}$. The dotted (solid) lines include (do not include) core destruction due to a secondary collision of one of the colliding nucleons and the core.

tion due to core destruction by a secondary scattering. We propose modifying the integrand of Eq. (1) to

$$(1 - |S_n|^2)|S_c|^2 \to (1 - |S_n|^2)|S_c|^2 \left(1 - \left(|S_{nc}|^2\right)\right),\tag{7}$$

with

$$\left\langle |S_{nc}|^2 \right\rangle = \frac{1}{\sigma_{NN}^{el}} \int d\Omega \frac{d\sigma_{NN}^{el}(\theta)}{d\Omega} |S_{nc}(b_{nc}(\theta,\phi))|^2, \tag{8}$$

where $\langle ... \rangle$ denotes an average over scattering angles. The S-matrix in Eq. (8) is calculated in the same way as in Eq. (1) (i.e., using Eq. (4)), but with the impact parameter replaced by b_{nc} . The main assumption is that the secondary collision yields a nucleon with the same center of mass energy as the incoming nucleon. The secondary nucleon which stems either from the projectile or the target due to the binary nucleon-nucleon collision, enters the core with an angle γ , as schematically shown in Fig. 1. The impact parameter b_{nc} entering Eq. (8) is now dependent on the scattering angle $\gamma(\theta, \phi)$, where the dependence of γ on θ and ϕ is defined below. The additional term, $1 - \langle |S_{nc}|^2 \rangle$, is the probability that one of the nucleons collides with the core which is likely to destroy it, depending on the impact parameter. The integration in Eq. (8) runs over all angles, or equivalently, all impact parameters $b_{nc}(\theta, \phi)$ that lead to a nucleon scattering toward the core. The first nucleon-nucleon collision is assumed to be quasi-free, i.e., an elastic collision, thus preserving the center-of-mass energy. From this point on, the secondary nucleon, either from the projectile or from the target, is again described by an eikonal S-matrix when it scatters with the core. These are similar assumptions as those used in Ref. [21] to describe secondary collisions in (p,2p) reactions.

For simplicity, we assume that the most likely position where the projectile nucleon is struck occurs when z = 0; the closest distance the projectile passes by the target. Fig. 1 shows a schematic drawing of the nucleon-core rescattering process. Although medium effects on nucleon-nucleon collisions might be important, we determine the scattering angle using the free- nucleonnucleon elastic cross section $d\sigma_{NN}^{elast}/d\Omega$ as a weight function in the angular average. Evidently, only a limited range of angles $\gamma(\theta, \phi)$ leads to the core destruction. The scattering angle γ can be related to b_{nc} and the incoming nucleon and core impact parameters through $b_{nc} = |\mathbf{b}_{cT} - \mathbf{b}_{nT}| \tan \gamma$, where γ is defined as the angle between the nucleon-nucleon scattering direction and the x-axis, perpendicular to the z-axis beam direction. The angle γ and the nucleon-nucleon scattering angles (θ, ϕ) are related by cos $\gamma = \sin \theta \cos \phi$, where ϕ is the off-plane azimuthal angle. As



Fig. 4. Decrease of stripping cross sections as a function of $\Delta S = S_n - S_p$ for neutron removal or $\Delta S = S_p - S_n$ for proton removal.

indicated in Fig. 1, the scattered nucleons will hit the core in a direction nearly perpendicular to the beam direction.

The experimental pp and np differential elastic scattering cross sections were obtained from the large amount of experimental data that can be accessed at the EXFOR library [24]. For all energies considered in this work, we use a parametrization of the differential cross sections found in Refs. [25,26] which fits the experimental data quite well. As an example, in Fig. 2 we show the differential cross section for proton-proton scattering at beam energies of 60 and 400 MeV/nucleon and as a function of the scattering angle in the center of mass. It is clear that the cross sections peak at forward and backward angles, but there is a non-negligible probability that the protons scatter around 90⁰, thus hitting the projectile core. We also carry out an isospin average of the differential cross section according to $\langle \sigma_{pN}^{el}(\theta) \rangle = (Z_T \sigma_{pp}^{el}(\theta) + N_T \sigma_{pn}^{el}(\theta))/A_T$ for proton knockout, with an equivalent expression for neutron knockout, exchanging N_T and Z_T . A similar average is done for the total scattering cross section appearing in Eq. (8) which accounts for nuclear absorption.

In Fig. 3 we plot the core survival probabilities, $P_c(b_c)$, for two reactions with very different nucleon separation energies. P_c is defined by

$$P_{c}(b_{cT}) = \int d^{3}r(1 - |S_{n}(b_{nT})|^{2}) \left\langle \psi_{jm} \left| |S_{c}(b_{cT})|^{2} \right| \psi_{jm} \right\rangle,$$
(9)

without rescattering correction, or

$$P_{c}(b_{cT}) = \int d^{3}r(1 - |S_{n}(b_{nT})|^{2}) \left(1 - \left\langle |S_{nc}|^{2} \right\rangle \right)$$
$$\times \left\langle \psi_{jm} \left| |S_{c}(b_{cT})|^{2} \right| \psi_{jm} \right\rangle, \tag{10}$$

when core-destruction is included. b_{nT} is expressed in terms of b_{cT} and **r**, as explained in the text following Eq. (1).

The core survival probability, after a nucleon removal from the projectile, is shown in Fig. 3 (upper panel) for ${}^{12}C({}^{8}B,{}^{7}Be)$ at 285 MeV/nucleon with a proton separation energy $S_p = 0.137$ MeV, angular momentum $J^{\pi} = 3/2^{-}$. In the lower panel we consider the reaction ${}^{12}C({}^{10}C,{}^{9}C)$ at 120 MeV/nucleon with a neutron separation energy $S_n = 21.28$ MeV, angular momentum $J^{\pi} = 3/2^{-}$. The dotted (solid) lines include (do not include) core destruction due to a secondary nucleon collision with the core.

An important remark is that our calculations are based on ab initio many-body wavefunctions using the No-Core Shell Model (NCSM) formalism, as described in Ref. [27]. It has been recently found out that the spectroscopic factors extracted from (p,2p) as well as from knockout reactions are more dependent on the interior part of the single-particle wavefunction than initially expected [27,28]. Fig. 3 shows that there is a non-negligible correction of

Table 1

Theoretical values for one-nucleon-removal stripping cross sections for selected single-particle states. $\Delta S = S_n$ –	- Sp
for neutron removal or $\Delta S = S_n - S_n$ for proton removal.	

Reaction	E _{beam} MeV/nucleon	$S_p[S_n]$ MeV	ΔS MeV	$J^{\pi}(j)$	σ_{str}	$\sigma_{str}^{rescatt}$	% change
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⁹ B(⁷ Li, ⁶ He)	80	9.98	2.723	0+(3/2)	20.49	19.01	-7.22
⁹ B(⁷ Li, ⁶ Li)	120	7.25	-2.723	$1^+(3/2)$	24.23	22.16	-8.54
¹² C(⁸ B, ⁷ Be)	285	0.137	-12.690	$3/2^{-}(3/2)$	42.49	39.02	-8.17
¹² C(⁹ C, ⁸ B)	78	1.3	-12.925	$2^+(3/2)$	40.14	36.86	-8.17
¹² C(⁹ Li, ⁸ Li)	100	4.06	-9.882	$2^{+}(3/2)$	40.32	37.00	-8.23
⁹ Be(¹⁰ Be, ⁹ Li)	80	19.64	12.824	$3/2^{-}(3/2)$	35.33	32.47	-8.09
⁹ Be(¹⁰ Be, ⁹ Be)	120	6.812	-12.824	$3/2^{-}(3/2)$	77.62	70.68	-8.94
⁹ Be(¹⁰ C, ⁹ C)	120	21.28	17.277	$3/2^{-}(3/2)$	44.57	40.50	-9.31
¹² C(¹² C, ¹¹ B)	250	15.95	-2.764	$3/2^{-}(3/2)$	64.68	58.70	-9.55
¹² C(¹² C, ¹¹ C)	250	18.72	2.764	$3/2^{-}(3/2)$	74.16	67.10	-9.52
¹² C(¹⁴ O, ¹³ N)	305	1.531	-18.552	$1/2^{-}(1/2)$	37.45	33.99	-9.22
⁹ Be(¹⁴ O, ¹³ O)	53	3.234	18.552	$3/2^{-}(3/2)$	25.57	23.32	-8.80
¹² C(¹⁶ O, ¹⁵ N)	2100	22.04	-3.537	$3/2^{-}(3/2)$	46.90	42.48	-9.42
¹² C(¹⁶ O, ¹⁵ O)	2100	22.04	3.537	$3/2^{-}(3/2)$	44.46	40.26	-9.45

the core survival probabilities as a function of the core impact parameter in collisions with ¹²C targets. The correction is largest at the maximum of the probability which occurs at core impact parameters $b_c \sim R_c + R_T$, where R_c (R_T) is the mean-square radius of the core (target).

In Table 1 we show the calculated values for one-nucleonremoval (*stripping*) cross sections for selected single-particle states, most of them already examined in Ref. [27]. For clarity, we recall that $\Delta S = S_n - S_p$ for neutron removal or $\Delta S = S_p - S_n$ for proton removal. The separation energies are not the experimental ones, but those obtained with the NCSM calculations for the respective states [27]. It is evident that the correction due to secondary scattering does not display a visible correlation with the separation energies, as is also seen in Fig. 4. The correction due to core destruction is reflected in the total nucleon knockout cross sections, all decreasing by nearly the same percentage.

We conclude that rescattering of either the incoming or the struck nucleon by the core tends to decrease the total nucleon knockout cross sections by as much as 9.5%, at least for the selected cases chosen in this work. This is an important result as it implies that the spectroscopic factors extracted from knockout reactions need revisions. They are probably larger than they should be because the spectroscopic factors have been extracted and reported in the literature using primarily the Eqs. (1)-(4). However, we do not reproduce the energy dependence with the binding nucleon energy, which would pave the way to solve the so-called "quenching puzzle", as suggested in Ref. [16], because in our model rescattering effects tend to reduce the stripping cross sections without any correlation with ΔS . Our model is consistent with the physical arguments employed in the derivation of Eqs. (1)-(4), where we have included an additional term to account for core destruction. The model relies on a geometrical condition which is independent of the binding energy of the removed nucleon. Perhaps, the most important effect leading to a possible solution of the "quenching puzzle" is the proper use of single particle wavefunctions obtained with ab initio many-body models, as claimed before in Refs. [27,28]. As shown in those references, the quenching of the spectroscopic factors tends to weaken when Eq. (1) uses proper ab initio wavefunctions. The effect of the S_n and S_c eikonal matrices in the integrand of Eq. (1) is to "chop off" parts of the bound-state wavefunction. It has been shown in past publications that this leads to a binding energy dependence of the cross sections. But Refs. [27,28] show there is also a non-negligible dependence of the knockout cross sections on the internal part of the single-particle wavefunction ψ_{im} , and this weakens the quenching of spectroscopic factors. The introduction of the core-destruction effect considered here is a geometric absorption of a scattered nucleon with the core that does not depend on the nucleon binding energy. In fact, the secondary scattering proceeds only after the valence nucleon is removed from the projectile. Therefore, no additional energy dependence is expected to occur.

Declaration of competing interest

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Carlos Bertulani reports administrative support was provided by Texas A&M University-Commerce. Carlos Bertulani reports a relationship with Texas A&M University that includes: employment.

Data availability

No data was used for the research described in the article.

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